

# Algebraic specification and verification with CafeOBJ

## Part 4 - Exploiting AC and Hidden Sorts

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# POLYNOMS

## Aim

Make CafeOBJ usable for symbolic computation

$$x^4 + 3x^2 - 2x + 3$$

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## Techniques used

- associative and commutative rewriting
- reduction strategies,
- parametrized modules ('instances')

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(Q: commutative?)
- $\mathbb{Z}[1/n] = \{a/n^b \mid a \in \mathbb{Z}, b \in \mathbb{N}\}$
- $\mathbb{F}[X]$  polynomials over a ring  $\mathbb{F}$ :

$$\mathbb{F}[X] = p_0 + p_1X^1 + \dots + p_mX^m$$

such that  $p_i$  are from the ring  $\mathbb{F}$  and  $X^k$  are formal expressions with  $X^0 = 1$  and  $X^n X^m = X^{n+m}$ .

# Specifying (commutative) rings in CafeOBJ

# First step: operators!

# WHERE ARE THE SORTS AND OPERATORS FOR RINGS?

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# SORTS AND OPERATORS FOR RINGS

(to be filled in during class)

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Sort(s)

[ Elem ]

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## Sort(s)

```
[ Elem ]
```

## Operators

```
op 0r : -> Elem .  
op 1r : -> Elem .  
op _ +r _ : Elem Elem -> Elem .  
op _ *r _ : Elem Elem -> Elem .  
op -r _ : Elem -> Elem .  
}
```

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```

Q: What will happen?

```
mod* RING {  
  [ Elem ]  
  op _ +r _ : Elem Elem -> Elem .  
  eq a:Elem +r b:Elem = b + a .  
}  
open RING .  
red a:Elem +r b:Elem .
```

What is the problem?



## OPERATOR ATTRIBUTES

To overcome the infinite rewrite problem laid out above, operator attributes are available:

Details see CafeOBJ> ? operator attr

Possible attributes:

- commutative (or comm) - declares the operator as being commutative ( $a + b = b + a$ )
- associative (or assoc) - same for associative
- l-assoc and r-assoc - for left and right associativity
- idempotence (or idem) - idempotency law  $a \star a = a$
- constr - declares the operator as constructor
- id: <const> defines an identity for the operator
- prec: <int> - precedence of the operator in the parsing ('binding strength - the smaller the stronger')
- strat ( <int list> ) - evaluation strategy

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red a:Elem +r b:Elem .
```

Q: What will happen? – nothing

```
-- reduce in %RING : (a +r b):Elem  
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(0.0000 sec for parse, 0.0000 sec for 0 rewrites + 0 matches)
```

# ABELIAN GROUP

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```
mod* RING {  
  [ Elem ]  
  op Or : -> Elem  
  op _ +r _ : Elem Elem -> Elem { comm assoc id: Or }  
  op -r _ : Elem -> Elem  
  eq (A:Elem +r (- A)) = Or .  
}
```

## DOES THIS SUFFICE?

Do we need more equations to reduce/rewrite (all) terms?

```
open RING .  
ops a b c : -> Elem .  
red a +r ( c +r b ) +r ( -r ( b +r a ) ) .
```

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Q: Why

## TRACING REWRITING

```
%RING> set trace on
%RING> red a +r ( c +r b ) +r (-r ( b +r a ) ) .
-- reduce in %RING : (a +r (c +r (b +r (-r (b +r a))))):Elem
1>[1] rule: eq (AC:?Elem +r (A:Elem +r (-r A))) = (AC +r 0r)
  { A:Elem |-> (a +r b), AC:?Elem |-> c }
1<[1] (a +r (b +r ((-r (a +r b)) +r c))):Elem --> (c):Elem

(c):Elem
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```
vars A B C : Elem .
eq: (A *_r (B +r C)) = (A *_r B) +r (A *_r C) .
```



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Lemma

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In CafeOBJ

```
%CRING> red a:Elem *r 0r .  
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(0r *r a):Elem  
%CRING>
```

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## Proof

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### Additional axiom/equation

```
eq a:Elem *r 0r = 0r .
```

## ADDING BINARY MINUS AND EQUALITY

To simply be able to write  $a - b$  instead of  $a + (-b)$  we introduce a binary minus:

```
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```

For equality we use reducibility as equality

```
eq (A:Elem = B:Elem) = (A == B) .
```

## REWRITE RULES FOR UNARY MINUS

We need to give additional rewrite rules for unary minus to decide equations. We settle on the following normal form:

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$$\text{eq } (-r (A:\text{Elem} +r B:\text{Elem})) = (-r A) +r (-r B) .$$

$$\text{eq } (-r A:\text{Elem}) *r B:\text{Elem} = -r (A *r B) .$$

$$\text{eq } (-r (-r A:\text{Elem})) = A .$$



## PUTTING IT ALL TOGETHER

```
mod* CRING {  
  [ Elem ]  
  op 0r : -> Elem { constr }  
  op 1r : -> Elem { constr }  
  op _ +r _ : Elem Elem -> Elem { comm assoc id: 0r prec: 33 }  
  .  
  op -r _ : Elem -> Elem { prec: 32 } .  
  op _ -r _ : Elem Elem -> Elem { prec: 32 } .  
  op _ *r _ : Elem Elem -> Elem { comm assoc id: 1r prec: 31 }  
  .  
  
  eq ( A:Elem -r B:Elem ) = ( A +r ( -r B ) ) .  
  eq ( A:Elem +r ( -r A ) ) = 0r .  
  eq ( A:Elem *r ( B:Elem +r C:Elem ) ) = ( A *r B ) +r ( A *r C ) .  
  eq ( A:Elem *r 0r ) = 0r .  
  eq ( A:Elem = B:Elem ) = ( A == B ) .  
  eq ( -r ( A:Elem +r B:Elem ) ) = ( -r A ) +r ( -r B ) .  
  eq ( -r A:Elem ) *r B:Elem = -r ( A *r B ) .  
  eq ( -r ( -r A:Elem ) ) = A .  
}
```

# Polynomials

## GOING BACK TO POLYNOMIALS

$\mathbb{F}[X]$  polynomials over a ring  $\mathbb{F}$ :

$$\mathbb{F}[X] = p_0 + p_1X^1 + \dots + p_mX^m$$

such that  $p_i$  are from the ring  $\mathbb{F}$  and  $X^k$  are formal expressions with  $X^0 = 1$  and  $X^n X^m = X^{n+m}$ .

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```
mod! POLYNOMIAL ( COEFF :: RING ) {
  pr(INT)
  pr(CRING * { ... }
  [ Elem < Poly ]
  op X^_ : Nat -> Poly
  ...
}
```

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The polynomials form a ring, so instead of rewriting the set of axioms for rings, we include the ring algebra and rename sorts and operators:

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```
pr(CRING * { sort Elem -> Poly,  
             op _+r_ -> _+p_,  
             op -r_ -> -p_,  
             op --r_ -> --p_,  
             op *_r_ -> *_p_,  
             op 0r -> 0p,  
             op 1r -> 1p })
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             op 1r -> 1p })
```

**WARNING** Two instances of ring in the algebra of polynomials: one is the ring of polynomials (where the operators are renamed from  $+r$  to  $+p$  etc), and one is the ring of coefficients which is a parameter to the module!

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Properties of the formal terms:



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- $rX^n + sX^n = (r + s)X^n$  (plus extra rules for  $X^n + sX^n$  etc)

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Properties of the computations:

- switch between polynomial and coefficient minus
- identifications of identity elements
- getting rid of superfluous  $1$

## AXIOMS FOR POLYNOMS

```
eq (I1 *p I2) = (I1 *r I2) . --ring elem mult.
eq (IP *p 0r) = 0r . -- as with the ring
-- properties of the formal terms
eq ( X^ 0 ) = 1p .
eq ( ( X^ N ) *p ( X^ M ) ) = X^ ( N + M ) .
eq ( I1 *p ( X^ N ) ) +p ( I2 *p ( X^ N ) ) =
  ( I1 +r I2 ) *p ( X^ N ) .
-- switch - from poly to ring
eq -p ( I *p IP1) = (-r I) *p IP1 .
-- special treatment of missing coeff
eq ( X^ N ) +p ( I2 *p ( X^ N ) ) =
  ( I2 +r 1r ) *p ( X^ N ) .
eq ( -p ( X^ N ) ) +p ( I2 *p ( X^ N ) ) =
  ( I2 -r 1r ) *p ( X^ N ) .
-- identification of identity elements
eq 1p = 1r .
eq 0p = 0r .
-- getting rid of unnecessary 1
eq (1r *p X^ N) = X^ N .
```

## INSTANTIATING POLYNOMIALS

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Example: view the integers as a CRING:

```
view INT-AS-CRING from CRING to INT {  
  sort Elem -> Int,  
  op 0r -> 0,  
  op 1r -> 1,  
  op _+r_ -> _+_,  
  op *_r_ -> *__,  
  op -r_ -> -_,  
  op --r_ -> --_  
}
```

## PLAYING AROUND WITH POLYNOMS

```
open POLYNOMIAL(COEFF <= INT-AS-CRING) .
red ( 3 *p X^ 2 ) +p ( 5 *p X^ 2 ) .
red 4 *p X^ 2 -p ( 2 *p X^ 2 ) .
red ( 3 *p X^ 1 *p 4 *p X^ 3 ) .
red ( 3 *p X^ 1 *p -4 *p X^ 3 ) .
red ( ( 3 *p X^ 2 +p X^ 1 +p 2 ) *p ( X^ 1 +p 1 ) ) .
red ( ( 3 *p X^ 2 +p X^ 1 +p 2 ) *p ( X^ 1 -p 1 ) ) .
close
```

# RATIONAL POLYNOMIALS

```
view RAT-AS-CRING from CRING to RAT { ... }
```



# RATIONAL POLYNOMIALS

```
view RAT-AS-CRING from CRING to RAT { ... }
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```
open POLYNOMIAL(COEFF <= RAT-AS-CRING) .  
red ( ( 3/2 *p X^ 2 +p X^ 1 +p 2/5 ) *p ( X^ 1 -p 3/2 ) ) .  
red ( X^ 3 -p X^ 1 +p 5/3 ) *p ( X^ 2 +p 2/9 *p X^ 1 -p 7/3 )  
.
```

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- completeness of the rewrite systems?
- AC rewriting and overloading of operators – tricky!
- mathematical practice and formal (absolutely) proofs are different

## LAB TIME

The *rank* of a polynomial

$$p = \sum_{k=0}^n p_k X^k$$

is the maximum of the exponents of non-zero terms, i.e.,

$$\text{rank}(p) = \max\{k : p_k \neq 0\}$$

Assuming the specification of polynomials from the lecture given. Define an operator and necessary equations so that CafeOBJ can compute arbitrary ranks.

Example: In case in integer polynomials:

```
red rank ( 3 *p X^ 2 +p X^ 1 -p 4 ) .
```

should return 2 because  $p_2 = 3$  is the biggest non-zero coefficient.



## LAB TIME II

A *vector space*  $V$  over a commutative ring  $R$  is a set with two operations, vector addition and scalar multiplication. The elements of  $V$  are called *vectors*, the elements of  $R$  (the field) *scalars*. The vector addition operators on two vectors, and the scalar multiplication operates on a scalar and a vector. The operations satisfy the following axioms:

- vector addition is associative and commutative
- there is an identity element for the vector addition
- for every vector there is the additive inverse for the vector addition
- scalar multiplication and field multiplication are compatible ( $a$  and  $b$  are scalars,  $\vec{v}$  a vector):  $a(b\vec{v}) = (ab)\vec{v}$
- the identity element of the field is multiplicative identity of the scalar multiplication
- scalar multiplication is distributive with respect to *both* scalar addition (addition in the field) and vector addition, that is,  $(a + b)\vec{v} = (a\vec{v}) + (b\vec{v})$  and  $a(\vec{v} + \vec{w}) = (a\vec{v}) + (a\vec{w})$  where  $a$  and  $b$  are scalars, and  $\vec{v}$  and  $\vec{w}$  are vectors.

## LAB TIME II CONT

Give a parametrized (parameter is the commutative ring) specification of vector spaces.

Example: With the view INT-AS-CRING from the lecture, the following code

```
open VECTORSPACE(SCALAR <= INT-AS-CRING) .  
red ( 3 * 2 * (4 + 3) *v (V:Vector +v W:Vector)) .
```

should give

```
((42 *v V) +v (42 *v W)):Vector
```

as output.

# Behavioral specification

## EXAMPLE: FLAGS IN PROGRAMMING LANGUAGES

Assume we want to specify an abstract notion of flags, that can be realized in various ways (booleans, natural numbers, etc).

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- change or switch a flag
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Consequences that should be obtained:

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Q: What do you think?

## POSSIBLE IMPLEMENTATION IN CafeOBJ

```
mod* FLAG {  
  [ Flag ]  
  op raise _ : Flag -> Flag .  
  op lower _ : Flag -> Flag .  
  op change _ : Flag -> Flag .  
  
  op is-up?_ : Flag -> Bool .  
  eq is-up? raise F:Flag = true .  
  eq is-up? lower F:Flag = false .  
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}  
mod! FLAGIMPLEMENTATION ( X :: FLAG ) { }
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}  
mod! FLAGIMPLEMENTATION ( X :: FLAG ) { }
```

What we expect is something like:

```
view FOOTBAR-AS-FLAG from FLAG to FOOTBAR { ... }  
open FLAGIMPLEMENTATION(X <= FOOTBAR-AS-FLAG) .  
red change-foobar change-foobar F = F .
```

## POSSIBLE IMPLEMENTATION IN CafeOBJ

```
mod* FLAG {
  [ Flag ]
  op raise _ : Flag -> Flag .
  op lower _ : Flag -> Flag .
  op change _ : Flag -> Flag .

  op is-up?_ : Flag -> Bool .
  eq is-up? raise F:Flag = true .
  eq is-up? lower F:Flag = false .
  eq is-up? change F:Flag = not is-up? F .
}
mod! FLAGIMPLEMENTATION ( X :: FLAG ) { }
```

What we expect is something like:

```
view FOOTBAR-AS-FLAG from FLAG to FOOTBAR { ... }
open FLAGIMPLEMENTATION(X <= FOOTBAR-AS-FLAG) .
red change-foobar change-foobar F = F .
```

**Q: What do you think?**

# BOOLEAN AS FLAGS

First implementation: Booleans

```
mod! BOOLFLAG {  
  pr(BOOL)  
  ** operators to be used as representations  
  ** for flags  
  op raise-bool _ : Bool -> Bool .  
  op lower-bool _ : Bool -> Bool .  
  op change-bool _ : Bool -> Bool .  
  op is-up?-bool _ : Bool -> Bool .  
  
  eq raise-bool F:Bool = true .  
  eq lower-bool F:Bool = false .  
  eq change-bool F:Bool = not F .  
  eq is-up?-bool X:Bool = X .  
}
```

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  op raise-bool _ : Bool -> Bool .
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```

Looks fine - or?

## USING THE IMPLEMENTATION

*Using an implementation* means instantiating the flag implementation module with an actual implementation, and mapping the relevant operators.



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view BOOL-AS-FLAG from FLAG to BOOLFLAG {
  sort Flag -> Bool,
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  op lower_ -> lower-bool_ ,
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Now let us check whether the double switch property holds:

```
red change-bool change-bool F:Bool = F .
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}
open FLAGIMPLEMENTATION(X <= BOOL-AS-FLAG) .
```

Now let us check whether the double switch property holds:

```
red change-bool change-bool F:Bool = F .
```

**Q: What do you think is the outcome?**

**Are we happy with that?**

## ANOTHER IMPLEMENTATION: NATURAL NUMBERS

We want to implement flags via natural numbers, and somehow keep track of costs of raising and lowering and changing.

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- changing the flag adds 1

Q: Is this a 'flag' in our interpretation?

# IMPLEMENTATION IN CafeOBJ

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```
mod! PNATFLAG {
  [ PNat ]
  op s _ : PNat -> PNat .
  op 0 : -> PNat .
  ...
  eq (N:PNat = M:PNat) = (N == M) .
  ...
  ** operators to be used as representations
  ** for flags
  op raise-pnat _ : PNat -> PNat .
  op lower-pnat _ : PNat -> PNat .
  op change-pnat _ : PNat -> PNat .
  op is-up?-pnat _ : PNat -> Bool .

  eq raise-pnat F:PNat = times2 F .
  eq lower-pnat F:PNat = s times2 F .
  eq change-pnat F:PNat = s F .
  eq is-up?-pnat F:PNat = even F .
}
```

# AND WHAT ABOUT OUR DOUBLE SWITCH PROPERTY?

???

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???

```
view PNAT-AS-FLAG from FLAG to PNATFLAG {
  sort Flag -> PNat,
  op raise_ -> raise-pnat_ ,
  op lower_ -> lower-pnat_,
  op change_ -> change-pnat_,
  op is-up?_ -> is-up?-pnat_
}
open FLAGIMPLEMENTATION(X <= PNAT-AS-FLAG) .
red change-pnat change-pnat N:PNat = N .
close .
```

## WHAT WENT WRONG?



## CODE-WISE

```
set trace whole on
%FLAGIMPLEMENTATION(X <= PNAT-AS-FLAG)> -- reduce in %
  FLAGIMPLEMENTATION(X <= PNAT-AS-FLAG) : ((change-pnat (
  change-pnat N)) = N):Bool
[1]: ((change-pnat (change-pnat N)) = N):Bool
---> ((s (change-pnat N)) = N):Bool
[2]: ((s (change-pnat N)) = N):Bool
---> ((s (s N)) = N):Bool
[3]: ((s (s N)) = N):Bool
---> ((s (s N)) == N):Bool
[4]: ((s (s N)) == N):Bool
---> (false):Bool
(false):Bool
(0.0000 sec for parse, 0.0000 sec for 4 rewrites + 4 matches)
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Are we interested in the actual value? – NO! Only in the  
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eq (N = M) = (N == M) .
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Definition of equality via ‘syntactic’/‘evaluation-style’ equality.

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What we want is

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Definition of equality via ‘syntactic’/‘evaluation-style’ equality.

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eq (N = M) = (N and M behave equally) .
```

**behavioral rewriting/algebra**

# FIRST BEHAVIORAL SPECIFICATION

## Standard

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mod* FLAG {  
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  op raise _ : Flag -> Flag .  
  op lower _ : Flag -> Flag .  
  op change _ : Flag -> Flag .  
  op is-up?_ : Flag -> Bool .  
  
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}
```

## Behaviour

```
mod* FLAG {  
  *[ Flag ]*  
  bop raise _ : Flag -> Flag .  
  bop lower _ : Flag -> Flag .  
  bop change _ : Flag -> Flag .  
  bop is-up? _ : Flag -> Bool .  
  
  beq is-up? raise F:Flag = true .  
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## Changes

- sort definition: `*[ ... ]*`
- operator definition: `bop`
- axiom definition: `beq`



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## Changes

- sort definition: `*[ ... ]*`
- operator definition: `bop`
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and above all

- semantics

## RUNNING THE CODE

What happens if we run this code through CafeOBJ:

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...

If you are sure that the proof is correct,  
you can add the following axiom(s):

```
ceq ceq (hs1:Flag == hs2:Flag) = true
    if ((is-up? hs1) == (is-up? hs2)) .
done.
```

## RUNNING THE CODE

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  If you are sure that the proof is correct,  
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```
ceq ceq (hs1:Flag == hs2:Flag) = true  
  if ((is-up? hs1) == (is-up? hs2)) .  
done.
```

In normal words:

You can define a kind of equality via the observations `is-up?`.

`==` is the behavioral equality

## WHAT HAPPENED BEHIND THE SCENES?

The check of congruence comprises of the following:

- the only operator with hidden sort `Flag` as input and a normal sort as output `Bool` is `is-up?`

```
bop is-up? _ : Flag -> Bool .
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- check for each of the other operators (`raise`, `lower`, `change`) whether the following holds:

```
ceq ( hs1:Flag == hs2:Flag ) = true  
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where `hs1` and `hs2` are terms starting with the respective operators.

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- check for each of the other operators (`raise`, `lower`, `change`) whether the following holds:

```
ceq ( hs1:Flag == hs2:Flag ) = true  
  if ((is-up? hs1) == (is-up? hs2)) .
```

where `hs1` and `hs2` are terms starting with the respective operators.

For example

```
ceq ( (raise f1:Flag) == (raise f2:Flag) ) = true  
  if ((is-up? (raise f1)) == (is-up? (raise f2))).
```

## WHAT HAPPENED BEHIND THE SCENES? – CONT

If this check succeeds, one can add the defining equation as suggested, or use

```
set accept =*= proof on
```



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If this check succeeds, one can add the defining equation as suggested, or use

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To see the proof carried out:

```
set verbose on  
set trace whole on
```

## WHAT HAPPENED BEHIND THE SCENES? – CONT

If this check succeeds, one can add the defining equation as suggested, or use

```
set accept == proof on
```

To see the proof carried out:

```
set verbose on  
set trace whole on
```

Then we get:

```
** system already proved "==" is a congruence of FLAG  
  
>> adding axiom : ceq (hs1:Flag == hs2:Flag) = true  
    if ((is-up? hs1) == (is-up? hs2)) .  
done.
```

## HIDDEN BOOLEANS AS FLAG IMPLEMENTATION

Let us consider the first implementation of flags via Booleans. Since we need to create an instantiation via a view, the sorts and operators must agree between FLAG and the implementation. Thus, we need something like *hidden Booleans*:

## HIDDEN BOOLEANS (CODE)

```
mod* BOOLFLAG {
  *[ HBool ]*
  bops htrue hfalse : -> HBool .
  ** basic properties of Booleans
  bop not _ : HBool -> HBool .
  beq not htrue = hfalse .
  beq not hfalse = htrue .
  ** operators for representation
  bop raise-bool _ : HBool -> HBool .
  bop lower-bool _ : HBool -> HBool .
  bop change-bool _ : HBool -> HBool .
  bop is-up?-bool _ : HBool -> Bool .
  ** as before
  beq raise-bool F:HBool = htrue .
  beq lower-bool F:HBool = hfalse .
  beq change-bool F:HBool = not F .
  beq is-up?-bool htrue = true .
  beq is-up?-bool hfalse = false .
  beq is-up?-bool not F:HBool = not is-up?-bool F .
}
```

# INSTANTIATING

As before, we need a view to instantiate the FLAGIMPLEMENTATION:

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  bop lower_ -> lower-bool_ ,  
  bop change_ -> change-bool_ ,  
  bop is-up?_ -> is-up?-bool_  
}  
open FLAGTHEORY(X <= BOOL-AS-FLAG) .  
red change-bool change-bool F:HBool == F .  
close .
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}
open FLAGTHEORY(X <= BOOL-AS-FLAG) .
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close .
```

Well, as expected ...

## WHAT ABOUT THE NATURAL NUMBERS?

Let us do the same for the natural numbers: First adapt them to hidden sorts:



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All as before, only the renaming to hidden counterparts, and a changed definition of equality:

```
mod! HPNat {
  *[ HPNat ]*
  bop s _ : HPNat -> HPNat .
  bop 0 : -> HPNat .
  bop even _ : HPNat -> Bool .
  bop odd _ : HPNat -> Bool .

  ...
  beq (N:HPNat = M:HPNat) = (N == M) .

  ...
}
```

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mod! HPNAT {
  *[ HPNat ]*
  bop s _ : HPNat -> HPNat .
  bop 0 : -> HPNat .
  bop even _ : HPNat -> Bool .
  bop odd _ : HPNat -> Bool .

  ...
  beq (N:HPNat = M:HPNat) = (N == M) .

  ...
}
```

CafeOBJ duly checks congruence ...

## CONGRUENCE CHECK FOR HPNAT

With the following operator definitions, which equalities do we have to check under which conditions?

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bop times2 _ : HPNat -> HPNat .
bop raise-pnat _ : HPNat -> HPNat .
bop lower-pnat _ : HPNat -> HPNat .
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Observational operators?

Operators to be checked?

(to be filled in in class)

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Q: What do you expect as outcome?

## SUMMARY (HIDDEN SORTS)

- behavioral specification allow for testing of 'equality' with respect to a set of observables
- congruence of mixed operators and hidden operators needs to be ensured
- very sensitive to signature changes
- good for abstracting implementation details from intended meaning
- Allows us to see the first specification of flags as correct!