

# Algebraic specification and verification with CafeOBJ

## Part 2 – Advanced topics

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ESSLLI 2016

Bozen, August 2016

## **Solution to the exercises**

# EXERCISES

- Implement  $\text{factorial}(n) = n!$
- Implement  $\text{fib}(n)$ ,  $n$ -th Fibonacci number, where  $\text{fib}(0) = 0$ ,  $\text{fib}(1) = 1$ , and  $\text{fib}(n) = \text{fib}(n - 2) + \text{fib}(n - 1)$  otherwise

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  - `mod!`: initial models
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  - `mod`: undecided

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- modules are the basic building blocks of CafeOBJ specifications, corresponding to (order-sorted) algebras
- they are declared by either one of `mod!` `mod*` `mod`
- difference of the three are the models that are considered:
  - `mod!`: initial models
  - `mod*`: all models
  - `mod`: undecided
- body of a module contains a specification of the algebra with axioms:
  - sorts and order on sorts
  - operators and their arity
  - variables and their sorts
  - equations (with or without conditions)

# ANATOMY OF A MODULE

start of a module and name

```
mod! PNAT {
```

definition of sorts and order

```
[Nat]
```

operator constant 0

```
op 0 : -> Nat .
```

normal prefix operator

```
op s : Nat -> Nat .
```

infix operator

```
op _+_ : Nat Nat -> Nat .
```

variable declaration

```
vars X Y : Nat
```

equation/axioms

```
eq 0 + Y = Y .
```

another equation

```
eq s(X) + Y = s(X + Y) .
```

end of the module }

# DEFINING THE FIRST MODULE

```
CafeOBJ> mod! PNAT {  
  [Nat]  
  op 0 : -> Nat .  
  op s : Nat -> Nat .  
  op _+_ : Nat Nat -> Nat .  
  vars X Y : Nat  
  eq 0 + Y = Y .  
  eq s(X) + Y = s(X + Y) .  
}  
-- defining module! PNAT
```

```
[....]  
CafeOBJ>
```

## REDUCING A TERM

```
CafeOBJ> open PNAT .
-- opening module PNAT.. done.
%PNAT> red s(s(s(0))) + s(s(0)) .
-- reduce in %PNAT : (s(s(s(0))) + s(s(0))):Nat
(s(s(s(s(s(0)))))):Nat
(0.000 sec for parse, 4 rewrites(0.000 sec), 7 matches)
%PNAT> close
CafeOBJ>
```

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(s(s(s(s(s(0)))))):Nat
(0.000 sec for parse, 4 rewrites(0.000 sec), 7 matches)
%PNAT> close
CafeOBJ>
```

 How did this happen?

## TRACE A REDUCTION

```
CafeOBJ> set trace whole on
CafeOBJ> open PNAT .
-- opening module PNAT.. done.
%PNAT> red s(s(s(0))) + s(s(0)) .
-- reduce in %PNAT : (s(s(s(0))) + s(s(0))):Nat
[1]: (s(s(s(0))) + s(s(0))):Nat
---> (s((s(s(0)) + s(s(0))))) :Nat
[2]: (s((s(s(0)) + s(s(0))))) :Nat
---> (s(s((s(0) + s(s(0)))))) :Nat
[3]: (s(s((s(0) + s(s(0)))))) :Nat
---> (s(s(s((0 + s(s(0))))))) :Nat
[4]: (s(s(s((0 + s(s(0))))))) :Nat
---> (s(s(s(s(s(0)))))) :Nat
(s(s(s(s(s(0)))))) :Nat
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%PNAT> close
CafeOBJ>
```

## MORE ON REWRITING

Rewriting can be used in funny ways:

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MOD! FOO {  
  [ Elem ]  
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  var x : Elem  
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}
```

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MOD! FOO {  
  [ Elem ]  
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  eq f(x) = f(f(x)) .  
}
```



What will happen?

# REWRITING FOO

```
CafeOBJ> open FOO .
%FOO> set trace whole on
%FOO> red f(3) .
-- reduce in %FOO : (f(3)):Nat
[1]: (f(3)):Nat
---> (f(f(3))):Nat
[2]: (f(f(3))):Nat
---> (f(f(f(3)))):Nat
[3]: (f(f(f(3)))):Nat
---> (f(f(f(f(3))))):Nat
[4]: (f(f(f(f(3))))):Nat
---> (f(f(f(f(f(3)))))):Nat
...
...
```

# Term Rewriting & Termination

# TERM REWRITE SYSTEM (TRS)

## Definition

- pair of terms  $\ell \rightarrow r$  is **rewrite rule** if  $\ell \notin V$  &  $\text{Var}(r) \subseteq \text{Var}(\ell)$
- **term rewrite system (TRS)**  $R$  is set of rewrite rules

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- **term rewrite system (TRS)**  $R$  is set of rewrite rules
- rewrite step:  $s \rightarrow_R t$  if

$$s = C[\ell\sigma] \text{ and } t = C[r\sigma]$$

for some substitution  $\sigma$ , context  $C$ , and rule  $\ell \rightarrow r \in R$

### NOTATIONS

$V$  stands for set of all variables and  $\text{Var}(t)$  for variables in  $t$

# EXAMPLE OF TRS

TRS  $\mathcal{R}$

$$\begin{array}{ll} \text{add}(0, y) \rightarrow y & \text{mul}(0, y) \rightarrow 0 \\ \text{add}(\text{s}(x), y) \rightarrow \text{s}(\text{add}(x, y)) & \text{mul}(\text{s}(x), y) \rightarrow \text{add}(y, \text{mul}(x, y)) \end{array}$$

# EXAMPLE OF TRS

TRS  $R$

$$\begin{array}{ll} \text{add}(0, y) \rightarrow y & \text{mul}(0, y) \rightarrow 0 \\ \text{add}(s(x), y) \rightarrow s(\text{add}(x, y)) & \text{mul}(s(x), y) \rightarrow \text{add}(y, \text{mul}(x, y)) \end{array}$$

rewrite sequence

$$\begin{aligned} \text{mul}(s(0), s(0)) &\rightarrow_R \text{add}(s(0), \text{mul}(s(0), 0)) \\ &\rightarrow_R \text{add}(s(0), 0) \\ &\rightarrow_R s(\text{add}(0, 0)) \\ &\rightarrow_R s(0) \end{aligned}$$

# EXAMPLE OF TRS

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Definition

$t$  is **normal form** if  $t \rightarrow_R u$  for **no**  $u$

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rewrite sequence

$$\begin{aligned} \text{mul}(\text{s}(0), \text{s}(0)) &\rightarrow_{\mathcal{R}} \text{add}(\text{s}(0), \text{mul}(\text{s}(0), 0)) \\ &\rightarrow_{\mathcal{R}} \text{add}(\text{s}(0), 0) \\ &\rightarrow_{\mathcal{R}} \text{s}(\text{add}(0, 0)) \\ &\rightarrow_{\mathcal{R}} \text{s}(0) \end{aligned}$$

Definition

$t$  is **normal form** if  $t \rightarrow_{\mathcal{R}} u$  for **no**  $u$

Definition

$\mathcal{R}$  is **terminating** if there is no infinite sequence  $t_1 \rightarrow_{\mathcal{R}} t_2 \rightarrow_{\mathcal{R}} \dots$

# Uniqueness of Normal Forms

# UNIQUENESS OF NORMAL FORMS

## Definition

- $t \rightarrow_R^* u$  if  $t \rightarrow_R \dots \rightarrow_R u$  (possibly no step)
- $t \rightarrow_R^! u$  if  $t \rightarrow_R^* u$  and  $u$  is normal form
- $t \downarrow_R$  denotes normal form of  $t$  if there is exactly one normal form of  $t$

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(conditional) TRS  $R$

$$\begin{array}{ll} f(x, y) \rightarrow x + y & \text{if } x \geq 50 \\ f(x, y) \rightarrow 0 & \text{if } y < 50 \end{array}$$

$f(70, 30) \downarrow$  is not well-defined:

$$100 \downarrow_R \leftarrow f(70, 30) \rightarrow_R^! 0$$

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REMARK

well-definedness requires uniqueness of normal forms

## TWO WARNINGS

### Termination

CafeOBJ does **not** check whether the generated rewrite system is terminating.

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### Confluence

CafeOBJ does **not** check for confluence.

# QUIZ

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$\text{append}(x : xs, ys) \rightarrow x : \text{append}(xs, ys)$

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e.g.

$$\begin{aligned}\text{append}(1 : 2 : 3 : \text{nil}, 4 : 5 : \text{nil}) &\rightarrow 1 : \text{append}(2 : 3 : \text{nil}, 4 : 5 : \text{nil}) \\ &\rightarrow 1 : 2 : \text{append}(3 : \text{nil}, 4 : 5 : \text{nil}) \\ &\rightarrow 1 : 2 : 3 : \text{append}(\text{nil}, 4 : 5 : \text{nil}) \\ &\rightarrow 1 : 2 : 3 : 4 : 5 : \text{nil}\end{aligned}$$

# QUIZ

TRS  $R$

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is  $R$  terminating?

## More on CafeOBJ

## BUILT-IN DATA TYPES

[ NzNat < Nat < NzInt < Int < NzRat < Rat ]

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plus records

## NUMBER TOWER EXAMPLES

```
open NAT .
red 10 + 20 .
red 32 * 57 .
-- operator precedence, see later
red 2 + 3 * 4 .
-- what will we get here?
red 7 - 3 .
close
```

## NUMBER TOWER EXAMPLES

```
open INT .
red 7 - 3 .
red 3 - 9 .
-- operator precedence (see later)
red 3 + 5 * 7 .
-- what will we get here?
red 3 / 5 .
close
```

## NUMBER TOWER EXAMPLES

```
open RAT .
parse 3 / 5 .
red 3 / 5 + 1 / 2 .
-- what will we get here?
red sqrt(2) .
close
```

# OPERATOR DEFINITIONS

prefix (default)

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op f : Nat NzNat -> Nat .
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**WARNING**

mixfix operators can create difficult to parse terms, sometimes proper qualification of terms is necessary

## EQUATIONAL THEORY ATTRIBUTES

associativity, commutativity, identity, idempotence

```
op _&_ : Bool Bool -> Bool { assoc comm idem id: true }
```

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```
mod* GROUP {
  [ G ]
  op 0 : -> G .
  op _+_ : G G -> G { assoc } .
  op _-_ : G -> G .
  var X : G .
  eq[0left] : 0 + X = X .
  eq[neginv] : (- X) + X = 0 .
}
```

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}
```

!!! inherited

## PARSING ATTRIBUTES

precedence, associativity

```
op _+_ : Int Int -> Int { prec: 33 } .
```

```
op _*_ : Int Int -> Int { prec: 31 } .
```

effect:  $*$  binds stronger than  $+$ .

## PARSING ATTRIBUTES

precedence, associativity

```
op _+_ : Int Int -> Int { prec: 33 } .  
op _*_ : Int Int -> Int { prec: 31 } .
```

effect: `*` binds stronger than `+`.

```
op _+_ : S S -> S { l-assoc } .
```

reduces  $X + X + X$  to  $(X + X) + X$ .

# MODULES IMPORT

Importing modules imports the declarations.

Three different modes:

**protecting (pr) pr(NAT)**

all intended models are preserved as they are

**extending (ex) ex(BOOL)**

models can be inflated, but cannot collapse

**including (inc) inc(INT)**

no restrictions on models

**using (us) us(FLOAT)**

allows for total destruction (redefinition)

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Most use cases: **pr(NAT)** or **ex(NAT)**.

# Lists

# LISTS

- **lists** over  $\mathbb{N}$  are terms given by BNF

$$L ::= \underbrace{\text{nil}}_{\text{empty list}} \quad | \quad \underbrace{x \mid L}_{\text{cons}} \quad (x \in \mathbb{N})$$

- we assume right associativity of  $|$

## Example

- **nil** — list

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## Example

- nil — list
- $1 \mid (3 \mid (2 \mid \text{nil}))$  — list

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## Example

- nil — list
- 1 | (3 | (2 | nil)) — list
- 1 | 3 | 2 | nil — list

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## Example

- nil — list
- 1 | (3 | (2 | nil)) — list
- 1 | 3 | 2 | nil — list
- 1 | 3 | 2 — not list

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- 1 | 3 | 2 | nil — list
- 1 | 3 | 2 — not list
- (1 | 3) | 2 | nil — not list

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## Example

- nil — list
- 1 | (3 | (2 | nil)) — list
- 1 | 3 | 2 | nil — list
- 1 | 3 | 2 — not list
- (1 | 3) | 2 | nil — not list
- 1 | true | 3 | nil — not list

# LISTS IN CafeOBJ

lists can be defined as **sorted terms** over  $\overbrace{\text{constructor symbols}}$ <sup>functions as values</sup>:

$\text{nil} : \text{NatList}$  and  $\_|\_ : \text{Nat} \times \text{NatList} \rightarrow \text{NatList}$

```
mod! NATLIST {
    pr(NAT)
    [ NatList ]
    op nil : -> NatList {constr} .
    op _|_ : Nat NatList -> NatList {constr} .
}

open NATLIST .
red 1 | 2 | 3 | 4 | nil .
close
```

# LENGTH

$$\text{len}(\text{nil}) = 0$$

$$\text{len}(3 \mid \text{nil}) = 1$$

$$\text{len}(2 \mid 3 \mid \text{nil}) = 2$$

$$\text{len}(1 \mid 2 \mid 3 \mid \text{nil}) = 3$$

```
op len : NatList -> Nat  
  
eq len(nil) = ?  
eq len(E:Nat | L:NatList) = ?
```

# APPEND

$$\begin{aligned} \text{nil} @ (3 \mid 4 \mid \text{nil}) &= 3 \mid 4 \mid \text{nil} \\ (2 \mid \text{nil}) @ (3 \mid 4 \mid \text{nil}) &= 2 \mid 3 \mid 4 \mid \text{nil} \\ (1 \mid 2 \mid \text{nil}) @ (3 \mid 4 \mid \text{nil}) &= 1 \mid 2 \mid 3 \mid 4 \mid \text{nil} \end{aligned}$$

```
mod* NATLIST@ {
  pr(NATLIST)
  var E : Nat
  vars L1 L2 : NatList
  op @_@_ : NatList NatList -> NatList

  eq nil @ L2 = ?
  eq (E | L1) @ L2 = ?
}
```

## Reusing data

# ASSOCIATION LISTS

association lists are

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- equipped with **lookup** function

$| = ("Kanazawa", 921) \mid ("Nomi", 923) \mid \text{nil}$

**lookup**("Kanazawa",  $|$ ) = 921

**lookup**("Nomi",  $|$ ) = 923

**lookup**("Hakusan",  $|$ ) = **not-found**

# ASSOCIATION LISTS

association lists are

- lists of pairs:  $(x_1, y_1) \mid \dots \mid (x_n, y_n) \mid \text{nil}$
- equipped with **lookup** function

$\text{I} = (\text{"Kanazawa"}, 921) \mid (\text{"Nomi"}, 923) \mid \text{nil}$

**lookup**("Kanazawa", I) = 921

**lookup**("Nomi", I) = 923

**lookup**("Hakusan", I) = **not-found**

Q

- what would be the signature of data constructors and **lookup**?
- how would one define **lookup**?
- implementation?

# PARAMETRIZED MODULES

- variable module constraint  
• `mod! M( $\tilde{X}$  ::  $\tilde{C}$ ,...) { $\cdots$  f.X  $\cdots$ }` parametrized module

# PARAMETRIZED MODULES

variable module constraint

- $\text{mod! } M(\tilde{X} :: \tilde{C}, \dots) \{ \dots f.X \dots \}$

view

- $M(N \overbrace{\{\text{sort A} \rightarrow \text{B}, \text{op f} \rightarrow \text{g}, \dots\}}^{\text{view}})$

parametrized module

module instantiation

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parametrized module

module instantiation

```
mod* C {  
  [A]  
  op add : A A -> A .  
}
```

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parametrized module

module instantiation

```
mod* C {  
    [A]  
    op add : A A -> A .  
}  
  
mod! TWICE(X :: C) {  
    op twice : A.X -> A.X .  
    eq twice(E:A.X) = add.X(E,E) .  
}
```

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- variable module constraint  
•  $\text{mod! } M(\tilde{X} :: \tilde{C}, \dots) \{ \dots f.X \dots \}$  parametrized module
- view  
•  $M(N\{\text{sort A} \rightarrow \text{B}, \text{op f} \rightarrow \text{g}, \dots\})$  module instantiation

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mod* C {  
  [A]  
  op add : A A -> A .  
}  
  
mod! TWICE(X :: C) {  
  op twice : A.X -> A.X .  
  eq twice(E:A.X) = add.X(E,E) .  
}  
  
open TWICE(NAT { sort A -> Nat, op add -> _+_ })  
  red twice(10) . - -> 10 + 10 -> 20  
close
```

# VIEWS AND MODULE INSTANTIATIONS

all are same:

- open TWICE(NAT { sort A -> Nat, op add ->  $\_+\_$  })
- view C2NAT from C to NAT {  
    sort A -> Nat  
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- open TWICE(C2NAT)
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**WARNING** That is a homomorphism of multi-sorted algebra, thus sorts and operators have to be translated.

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## USAGE

- mod! NATLIST { pr(LIST(NAT {sort Elt -> Nat})) }, or

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## USAGE

- mod! NATLIST { pr(LIST(NAT {sort Elt -> Nat})) }, or
- mod! NATLIST { pr(LIST(NAT)) }
  - ☞ Elt is automatically identified if module contains only one sort

# RENAMING OF INSTANCES

Assume

```
mod! SUPERMODULE {  
    pr(LIST(NAT {sort Elt -> Nat}))  
    pr(LIST(INT {sort Elt -> Int}))  
}  
open SUPERMODULE .  
check regularity  
...
```

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Why? - Instantiation is a homomorphism from C to target module.  
But the “generated module” is called in both cases LIST.  
Solution: Add another “renaming” isomorphism at the end.

## RENAMING OF INSTANCES CONT.

```
mod! SUPERMODULE {
  pr(LIST(NAT {sort Elt -> Nat})
      * { sort List -> NatList,
          op nil -> natnil,
          op _|_ -> _||_ })
  pr(LIST(INT {sort Elt -> Int})
      * { sort List -> IntList })
}
```

## RENAMING OF INSTANCES CONT.

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mod! SUPERMODULE {
  pr(LIST(NAT {sort Elt -> Nat})
      * { sort List -> NatList,
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}
```

The isomorphism renames

$\langle \text{List}, \text{nil}, \mid \rangle \mapsto \langle \text{NatList}, \text{natnil}, \mid\mid \rangle$ :

## RENAMING OF INSTANCES CONT.

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mod! SUPERMODULE {
  pr(LIST(NAT {sort Elt -> Nat})
      * { sort List -> NatList,
          op nil -> natnil,
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  pr(LIST(INT {sort Elt -> Int})
      * { sort List -> IntList })
}
```

The isomorphism renames

`<List, nil, |>` ↳ `<NatList, natnil, ||>`:

```
%SUPERMODULE> parse 3 || 4 || 7 || 1 || natnil .
(3 || (4 || (7 || (1 || natnil)))):NatList
%SUPERMODULE> parse 3 | 4 | 7 | 1 | nil .
(3 | (4 | (7 | (1 | nil)))):IntList
%SUPERMODULE> parse 3 | 4 | 7 | 1 | natnil .
[Error] no successful parse
...
```

# ASSOCIATION LISTS REVISITED

`2TUPLE(X1 :: TRIV, X2 :: TRIV)` is parametrized module for pairs

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**QUIZ**

```
mod! ALIST(K :: TRIV, V :: TRIV) {
  pr(LIST(2TUPLE(K, V) {sort Elt -> 2Tuple}))
  [ ? ]
  op not-found : -> NotFound .
  op lookup : Elt.K List -> Value&NotFound .
  vars X1 X2 : [ ? ] .
  var Y : Elt.V .
  var L : List .
  eq lookup(X1, nil) = not-found .
  eq lookup(X1, « X2 ; Y » | L) =
    if X1 == X2 then Y else lookup(X1, L) fi .
}
```

# LAB TIME

- Given a sorted list  $\ell$ , the function  $\text{insert}(x, \ell)$  computes the sorted version of  $x \mid \ell$ . For instance,

$$\text{insert}(5, 2 \mid 4 \mid 6 \mid \text{nil}) = 2 \mid 4 \mid 5 \mid 6 \mid \text{nil}$$

$$\text{insert}(7, 2 \mid 4 \mid 6 \mid \text{nil}) = 2 \mid 4 \mid 6 \mid 7 \mid \text{nil}$$

Implement `insert : Nat NatList -> NatList`.

- use `insert` to implement the *insertion sort* algorithm (`isort`).

Hint:

$$\text{isort}(3 \mid 2 \mid 1 \mid \text{nil}) = \text{insert}(3, \text{insert}(2, \text{insert}(1, \text{nil}))) = 1 \mid 2 \mid 3 \mid \text{nil}$$